

FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - A

Name of the Insurer: ECGC LTD.
Registration Number: 124
Statement as on: September 30, 2022
Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)
(Business within India)
Periodicity of Submission: Quarterly

(Rs. in Lakhs)

Section I			
No	PARTICULARS	SCH ++	AMOUNT
1	Investments (Shareholders)	8	7,12,463.08
	Investments (Policyholders)	8A	7,12,463.08
2	Loans	9	-
3	Fixed Assets	10	35,850.84
4	Current Assets		-
	a. Cash & Bank Balance	11	1,45,225.67
	b. Advances & Other Assets	12	1,04,430.91
5	Current Liabilities		-
	a. Current Liabilities	13	7,07,608.40
	b. Provisions	14	88,295.24
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-
	Application of Funds as per Balance Sheet (A)		25,06,337.21
	Less: Other Assets	SCH ++	Amount
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	35,850.84
3	Cash & Bank Balance (if any)	11	955.67
4	Advances & Other Assets (if any)	12	1,04,330.91
5	Current Liabilities	13	7,07,608.40
6	Provisions	14	88,295.24
7	Misc. Exp not Written Off	15	-
8	Investments held outside India		-
9	Debit Balance of P&L A/c		-
	Total (B)		9,37,041.05
	'Investment Assets'	(A-B)	15,69,296.16

Section II	No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value
				Balance	FRSM*						
				(a)	(b)	(c)	d = (a+b+c)	e = (d-a) %	(f)	(g)=(d+f)	(h)
	1	Central Govt. Securities	Not less than 20%	-	1,58,502.44	1,58,502.44	3,17,004.88	21.14	-	3,17,004.88	3,14,911.49
	2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1)	Not less than 30%	-	3,22,025.12	3,22,025.12	6,44,050.24	42.95	-	6,44,050.24	6,38,581.37
	3	Investment subject to Exposure Norms									
		a. Housing / Infra & Loans to SG for Housing and FFE									
		1. Approved Investments	Not less than 15%	17,756.84	1,95,626.44	2,13,383.28	4,26,766.56	28.46	-	4,26,766.56	4,46,448.33
		2. Other Investments			5,248.85	5,248.85	10,497.70	0.70	-	10,497.70	-
		b. Approved Investments	Not exceeding 55%	8,190.03	1,92,755.78	2,00,945.81	4,01,891.62	26.80	73,998.52	4,75,890.14	4,78,608.38
		c. Other Investments		-	8,211.17	8,211.18	16,422.35	1.10	(4,330.83)	12,091.52	12,085.16
		Investment Assets	100%	25,946.87	7,23,867.36	7,49,814.24	14,99,628.47	100.00	69,667.69	15,69,296.16	15,75,723.23

Note:

1. (+) FRSM refers 'Funds representing Solvency Margin'

2. Other Investments' are as permitted under 27A(2)

3. Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.

4. Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

5. SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations

6. Investment Regulations, as amended from time to time, to be referred

PART - B

Name of the Insurer: ECGC LTD.
Registration Number: 124
Statement as on: September 30, 2022
Statement of Accretion of Assets
(Business within India)
Periodicity of Submission : Quarterly

(Rs. Lakhs)

No	Category of Investments	COI	Opening Balance	% to Opening Balance	Net Accretion for the Qtr.	% to Total Accrual	TOTAL	% to Total
			(A)		(B)		(A+B)	
1	Central Govt. Securities	CGSB	3,13,090.80	20.64	3,914.09	(22.88)	3,17,004.89	21.14
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)		-				-	
	Central Govt. Securities	CGSB	3,13,090.80	20.64	3,914.09	(22.88)	3,17,004.89	21.14
	State Govt. Sec.	SGGB	2,67,123.99	17.61	13,308.43	(77.79)	2,80,432.42	18.70
	Other Approved Sec.	SGOA	46,618.26	3.07	(5.32)	0.03	46,612.94	3.11
3	Investment subject to Exposure Norms							
	a. Housing & Loans to SG for Housing and FFE							
	1. Approved Investments							
	Tax Free Bonds Issued by HUDCO	HFHD	10,039.69	0.66	(14.35)	0.08	10,025.34	0.67
	Tax Free Bonds Issued by NHB	HFDN	8,592.05	0.57	(13.46)	0.08	8,578.59	0.57
	Bonds/Debs. Issued by NHB/Institutions accredited by NHB	HTDN	63,902.61	4.21	23,306.28	(136.22)	87,208.89	5.82
	Bonds/Debs. Issued by HUDCO accredited by HUDCO	HTHD	1,000.00	0.07	-	0.00	1,000.00	0.07
	2. Other Investments							
	b. Infrastructure Investments							
	1. Approved Investments							
	Infrastructure -PSU- Debentures/Bonds	IPTD	2,02,165.59	13.33	(629.86)	3.68	2,01,535.73	13.44
	Infrastructure- Other Corporate Securities - Debs./Bonds	ICTD	9,024.70	0.60	(2.03)	0.01	9,022.67	0.60
	Taxfree Infrastructure -PSU Bonds	IPFD	1,07,228.60	7.07	(176.70)	1.03	1,07,051.90	7.14
	Long Term Bank Bonds - Infra	ILBI	-	0.00	-	0.00	-	0.00
	2. Other Investments							
	Infra Bonds Reclassified	IORD	10,497.71	0.69	0.00	(0.00)	10,497.71	0.70
	c. Approved Investments							
	Corporate Securities- Bonds (Taxable)	EPBT	1,03,736.60	6.84	1,331.32	(7.78)	1,05,067.92	7.01
	Corporate Securities- Bonds (Taxfree)	EPBF	4,818.33	0.32	(9.19)	0.05	4,809.14	0.32
	PSU - Equity Shares Quoted	EAEQ	26,544.23	1.75	81.57	(0.48)	26,625.80	1.78
	Corporate Securities - Equity Shares - Quoted	EACE	93,575.67	6.17	(150.29)	0.88	93,425.38	6.23
	Deposits with Scheduled banks/Fis	ECDB	1,76,875.00	11.66	(32,505.00)	189.99	1,44,370.00	9.63
	Deposits: CDs with Scheduled Banks	EDCD	9,581.68	0.63	0.01		9,581.68	0.64
	Commercial Papers	ECCP	2,343.42	0.15	-		2,343.42	0.16
	Mutual Funds	EGMF	36,330.40	2.40	(22,211.88)	129.83	14,118.52	0.94
	CBLO	ECBO	7,254.06	0.48	(3,360.88)	19.64	3,893.18	0.26
	d. Other Investments (not exceeding 15%)							
	Equity Shares	OESH	1,025.65	0.07	(0.00)	0.00	1,025.65	0.07
	Equity Shares	ORAE	12,935.05	0.85	0.00	(0.00)	12,935.05	0.86
	Corporate Securities- PSU Bonds (Taxable)	OBPT	0.00	0.00	(0.00)	0.00	-	0.00
	Corporate Securities-Bonds (taxable)	ORAD	2,006.88	0.13	(0.24)	0.00	2,006.64	0.13
	Other Investment Bonds Pvt.	OLDB	9.20	0.00	0.00	(0.00)	9.20	0.00
	OTHER INVESTMENTS - AIF	OAFA	416.99	0.03	28.82	(0.17)	445.81	0.03
	Total		15,16,737.15	100.00	(17,108.68)	100.00	1499628.47	100.00

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Version 1.0

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Note:

1. Total (A+B). fund wise should tally with figures shown in Form 3B (Part A)

2. Investment Regulations, as amended from time to time, to be referred